

Prudential Cautious Managed Growth Fund ISA Application Form

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About this form

- ▶ Please complete all relevant sections in blue or black ink, write in CAPITAL LETTERS or tick as appropriate and sign this form.
- ▶ Please return the completed form together with your cheque or direct debit instruction to:
Prudential Unit Trusts Limited, PO Box 9022, Chelmsford CM99 2WA.
- ▶ Cheques should be made payable to **Prudential Unit Trusts Limited.**
- ▶ We may have to return any application to you that is incorrectly completed.
- ▶ This application form is to be used for new investments into the Prudential Cautious Managed Growth Fund only.
- ▶ You cannot make a joint application for an ISA.
- ▶ If you have any questions about this form, please call us on **0800 072 6159** between 8am and 6pm Monday to Friday and 9am to 1pm on Saturday. For your security and to improve the quality of our service, we may record and monitor telephone calls.

Part 1 – Personal details

Title	Date of birth (18 or over)	Permanent residential address. Please note we cannot accept a "care of" or business address or PO Box numbers.
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Surname	<input type="text"/>	
Full forename(s)	<input type="text"/>	
Daytime telephone number (including area code)	<input type="text"/>	
		Postcode
		If you are an existing investor in a Prudential ISA or unit trust/OEIC, please quote your customer number
		<input type="text"/>

Part 2 – Tax details

I apply to subscribe to a Prudential Stocks and Shares ISA for the current tax year and each subsequent year until further notice.

Tax year	<input type="text"/>	I confirm by signing below that I do not have a National Insurance Number.
National Insurance No.	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Signature
	or	<input checked="" type="checkbox"/>

You will find your National Insurance Number on your DSS Numbercard or on your payslip.

Part 3 – Your investment details

The minimum investment per trust is £500. You must remain within the overall ISA investment limits.

Please refer to your Key Features document for full details of the trusts and options available, including overall subscription limits.

Please tick either the Growth Option or the Income Option. You can only choose one option. If none/both options are ticked then the Growth Option will be allocated. If you choose the Income Option please ensure you also complete Section 4 – Income payment details.

I wish to invest	£ <input type="text"/>	in the	<input type="text" value="Prudential Cautious Managed Growth Fund"/>
Income Option	<input type="checkbox"/>	Growth Option	<input type="checkbox"/>

Please enclose a cheque made payable to **Prudential Unit Trusts Limited.**

Paying by 'counter cheque' or money order

If you are paying by 'counter cheque' or money order from your bank or building society, you must ask your bank or building society to include a reference to the name on your account when they complete the name of the payee on the cheque or money order. For example, if the name on your account is John Brown, they should specify: "PAY....Prudential Unit Trusts Limited – Re: John Brown."

Please note: The payment forms part of your application. If the payment instruction is not completed correctly, we may have to return your application and payment instruction. This could mean that the purchase of your investment might be delayed or even rejected. It could also affect the purchase price of your investment.

Part 4 – Income payment details

Please complete this section if you have selected the Income Option so that income can be paid direct to your bank or building society account. Please take care when completing this section as Prudential Unit Trusts Limited cannot take responsibility for incorrectly completed bank or building society account details. The bank or building society account must be in the ISA holder's name.

Bank or building society name and address

Postcode

Bank or building society account number

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Branch sort code*

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Building society collection account number*

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Name(s) of account holder(s)

*The building society sort code and collection number can be obtained from your building society.

Part 5 – Details of your regular savings/investment (by direct debit)

The minimum monthly contribution is £50. Remember to also complete the direct debit instruction opposite. Your regular savings will normally be debited from your bank or building society account on the tenth of each month, or the next business day if this falls on a weekend or public holiday.

I wish to invest the following monthly amount(s) by Direct Debit starting from

1	0	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

I wish to invest

£

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per month in the

Prudential Cautious Managed Growth Fund

Part 6 – Declaration and authorisation

Please read this declaration carefully, then sign and date.

I declare that:

All subscriptions made, and to be made, belong to me. I am 18 years of age or over. I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year. I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA. I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Prudential Unit Trusts Limited if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties. I will inform Prudential Unit Trusts Limited promptly of any change in my circumstances which affects any of this information.

I authorise Prudential Unit Trusts Limited:

To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash. To make on my behalf any claims to relief from tax in respect of ISA investments. I agree that my ISA will be governed by the Prudential Customer Agreement and I agree to be bound by it in all respects. I am aware that the Prospectus and the latest Annual and, if more recent, Interim Long and Short Reports are available free of charge on request.

I declare that this application has been completed to the best of my knowledge and belief and I make the declarations and give the authorisations set out above.

I have received and read a recent version of the Key Features.

Signature

X

Date

D	D	M	M	Y	Y	Y	Y
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Important note: We cannot accept your application form without your signature within this declaration and authorisation.

Direct debit instruction – Prudential ISA

Please return this part of the form with your completed application



Instruction to your bank to pay direct debits

To be completed if you have ticked the monthly investment option.

Please complete parts 1 – 6 to instruct your branch to make payments from your account. Sign and then return the form to: Prudential Unit Trusts Limited, PO Box 9022, Chelmsford CM99 2WA.

1. Name and full postal address of your bank or building society

To: The Manager	Bank/Building society
Address	
Postcode	

2. Name(s) of account holder(s)

3. Branch sort code (from top right hand corner of your cheque)

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4. Bank or building society account number

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Originator's Identification Number

7	2	4	7	5	0
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5. Prudential customer number (existing investors)

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6. Instructions to your bank or building society

Please pay **Prudential** direct debits from the account detailed on this Instruction, subject to the safeguards assured by The Direct Debit Guarantee.

I understand that this Instruction may remain with the Originator mentioned above and if so, details will be passed electronically to my bank or building society.

Signature

X

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signature

X

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Banks and building societies may not accept direct debit instructions from some types of account.

Financial Adviser details

Company name

Prudential

Agent code

1337696

For FSA product sales data purposes: If you did not provide advice on this sale, please tick this box.

Please tear off and keep this part of the form

The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that take part in the Direct Debit Scheme. The efficiency and security of the Scheme is monitored and protected by your own bank or building society.
- If an error is made by us or your bank/building society, you are guaranteed full and immediate refund from your branch of the amount paid.
- If the amounts to be paid or the payment dates change, Prudential will notify you ten working days in advance of your account being debited or as otherwise agreed.
- You can cancel a direct debit at any time, by writing to your bank or building society. Please also send a copy of your letter to us.

How we use your personal data

Prudential Unit Trusts Limited, its group companies* and its business partners will use your information together with other information for administration, customer services, marketing and profiling your purchasing preferences. We will pass your information to them (including our service providers and agents) for these purposes.

We may search the files of credit reference agencies who will record any searches on your file. This is to help us to prevent fraud, to check your identity and to prevent money laundering. We may disclose details of how you conduct your account to such agencies. The information will be used by other credit grantors for fraud prevention, money laundering prevention and occasionally for tracing debtors. This information may be used to recheck these purposes. We will pass your information to any legal or regulatory body if required to do so.

It may be necessary, for the above purposes, to transfer your information to countries that provide a different level of data protection from the UK. In such circumstances, we will put a contract in place to ensure your information is protected. By signing and returning this form, you consent to us processing your data as mentioned above.

You have a right to obtain a copy of your personal information (for which we may charge a fee) and to have any inaccuracies corrected by writing to: Customer Relations, Prudential Unit Trusts Limited, PO Box 9022, Chelmsford CM99 2WA. To make sure we follow your instructions correctly and to improve our service to you through training of our staff, we may monitor or record communications.

Acting on someone's behalf?

When giving us information about another person, you confirm that they have appointed you to act on their behalf. This includes providing consent to:

- › the processing of their personal data
- › receive any data protection notices on their behalf
- › receive marketing information as indicated.

Marketing choice

We would like to keep you up to date with information on our products and services. To do this, we would like to contact you by telephone, post, email or SMS. If you would not like to be contacted, please tick this box .

*Prudential Unit Trusts Limited is part of the Prudential group of companies which at the time of printing includes Prudential UK & Europe, the M&G Investments Group, Prudential Corporation Asia, Jackson National Life and PPM America Inc (indirect wholly owned subsidiary).

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www.pru.co.uk

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