

# Prudential ISA Transfer Application Form



## About this form

- ▶ Please complete all relevant sections in blue or black ink, write in CAPITAL LETTERS or tick  as appropriate and sign this form on the reverse.
- ▶ Please return the completed application form to **Prudential, PO Box 10866, Chelmsford CM99 2BX.**
- ▶ We may have to return any application to you that is incorrectly completed.
- ▶ This application form can only be used if you wish to transfer your ISA to Prudential from another ISA Manager.
- ▶ This form can be used for both previous and current tax year contributions.
- ▶ If you have any questions about this form, please call us on **0800 072 6159** between 8am and 6pm Monday to Friday and 9am to 1pm on Saturday. For your security and to improve the quality of our service, we may record and monitor telephone calls.

## Part 1 – Personal details

Title <input type="text"/>	Date of birth (you must be 18 or over) <table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; height: 20px;">D</td> <td style="width: 20px; height: 20px;">D</td> <td style="width: 20px; height: 20px;">M</td> <td style="width: 20px; height: 20px;">M</td> <td style="width: 20px; height: 20px;">Y</td> <td style="width: 20px; height: 20px;">Y</td> <td style="width: 20px; height: 20px;">Y</td> <td style="width: 20px; height: 20px;">Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y	Permanent residential address. Please note we cannot accept a 'care of' or business address, or PO Box numbers.  <input style="width: 100%; height: 20px;" type="text"/> <input style="width: 100%; height: 20px;" type="text"/> <input style="width: 100%; height: 20px;" type="text"/> <div style="text-align: right;">Postcode <input style="width: 100%; height: 20px;" type="text"/></div>
D	D	M	M	Y	Y	Y	Y			
Surname <input style="width: 100%; height: 20px;" type="text"/>										
Full forename(s) <input style="width: 100%; height: 20px;" type="text"/>										
Daytime telephone number (including area code) <input style="width: 100%; height: 20px;" type="text"/>										

If you are an existing investor in a Prudential ISA or OEIC, please quote your customer number.

## Part 2 – Tax details

National Insurance Number <table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> </table>											or	I confirm by signing below that I do not have a National Insurance Number.
You will find your National Insurance Number on your DSS Numbercard, or on your payslip.		Signature <div style="border: 1px solid black; padding: 5px; text-align: center;">X</div>										

## Part 3 – Your investment details

The minimum transfer is £500. The minimum for any subsequent transfers is £250.

**Please refer to your Key Features for full details of the funds and options available, including overall subscription limits.**

For each fund in which you invest, please tick either the Growth Option or the Income Option. For funds offering both Growth and Income Options, if none/both options are ticked then the Growth Option will be allocated for that fund. If you choose the Income Option please ensure you also complete Part 4 – Income payment details.

I wish to transfer <input style="width: 50px;" type="text"/> %	into the	<input style="width: 95%; height: 20px;" type="text" value="Prudential"/>
	Income Option	<input type="checkbox"/>
	Growth Option	<input type="checkbox"/>
I wish to transfer <input style="width: 50px;" type="text"/> %	into the	<input style="width: 95%; height: 20px;" type="text" value="Prudential"/>
must total 100%	Income Option	<input type="checkbox"/>
	Growth Option	<input type="checkbox"/>

If you need more space to list your choice of funds, please use another piece of paper.

### Previous tax year contributions

Previous tax year contributions can be transferred as a percentage of the total invested with that ISA Manager (partial or full). If you are transferring previous tax year contributions, please indicate whether transfer is partial or full.

Partial  Full

### Current tax year contributions

Any current tax year contributions can only be transferred in full.

**Please note:** If you are transferring current tax year contributions, we are unable to accept further subscriptions until the transfer is complete.

## Part 4 – Income payment details

Please complete this section if you have selected the Income Option so that income can be paid direct to your bank or building society account. Please take care when completing this section as Prudential Unit Trusts Limited cannot take responsibility for incorrectly completed bank or building society account details. The bank or building society account must be in the ISA holder's name.

Bank/Building society name and address

Postcode

Name(s) of account holder(s)

--

Bank/Building society account number

--	--	--	--	--	--	--	--	--	--

Branch sort code\*

--	--	--	--	--	--

Building society collection account number\*

--

\*The building society sort code and collection number can be obtained from your building society.

### How we use your personal data

Prudential Unit Trusts Limited, its group companies\*\* and its business partners will use your information together with other information for administration, customer services, marketing and profiling your purchasing preferences. We will pass your information to them (including our service providers and agents) for these purposes.

We may search the files of credit reference agencies who will record any searches on your file. This is to help us to prevent fraud, to check your identity and to prevent money laundering. We may disclose details of how you conduct your account to such agencies. The information will be used by other credit grantors for fraud prevention, money laundering prevention and occasionally for tracing debtors. This information may be used to re-check these purposes. We will pass your information to any legal or regulatory body if required to do so.

It may be necessary, for the above purposes, to transfer your information to countries that provide a different level of data protection from the UK. In such circumstances, we will put a contract in place to ensure your information is protected. By signing and returning this form, you consent to us processing your data as mentioned above.

You have a right to obtain a copy of your personal information (for which we may charge a fee) and to have any inaccuracies corrected by writing to: Customer Relations, Prudential, PO Box 10866, Chelmsford CM99 2BX. To make sure we follow your instructions correctly and to improve our service to you through training of our staff, we may monitor or record communications.

**Acting on someone's behalf?** When giving us information about another person, you confirm that they have appointed you to act on their behalf. This includes providing consent to:

- ▶ the processing of their personal data
- ▶ receive any data protection notices on their behalf
- ▶ receive marketing information as indicated.

**Marketing choice** – We would like to keep you up to date with information on our products and services. To do this, we would like to contact you by telephone, post, email or SMS. If you would not like to be contacted, please tick this box .

\*\*Prudential Unit Trusts Limited is part of the Prudential group of companies which at the time of printing includes Prudential UK & Europe, the M&G Investments Group, Prudential Corporation Asia, Jackson National Life and PPM America Inc (indirect wholly owned subsidiary).

## Part 5 – Declaration and authorisation (please read this declaration carefully, then sign and date)

**I declare that:** All subscriptions made, and to be made, belong to me; I am 18 years of age or over; I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year; I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA; I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Prudential Unit Trusts Limited if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties; I will inform Prudential Unit Trusts Limited promptly of any change in my circumstances which affects any of this information.

**I authorise Prudential Unit Trusts Limited:** To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; to make on my behalf any claims to relief from tax in respect of ISA investments. I agree that my ISA will be governed by the Prudential Customer Agreement and I agree to be bound by them in all respects. I am aware that the Prospectus and the latest Annual and, if more recent, Interim Long and Short Reports are available free of charge on request.

I declare that this application has been completed to the best of my knowledge and belief and I make the declarations and give the authorisations set out above.

I have received and read a recent version of the Key Features.

Signature

X
---

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

**Important note:** We cannot accept your application form without your signature within this declaration and authorisation.

## Financial Adviser details

Name

--

Regulator

--

Regulator number

--

Company name

--

Address

Postcode

Agent code

--

For FSA product sales data purposes: if you did not provide advice on this sale, please tick this box.

"Prudential" is a trading name of Prudential Unit Trusts Limited and Prudential Personal Equity Plans Limited. This name is also used by other companies within the Prudential Group, which between them provide a range of financial products including life assurance, pensions, savings and investment products. Prudential Unit Trusts Limited and Prudential Personal Equity Plans Limited are registered in England and Wales under numbers 1796126 and 2059989 respectively. Registered Office at Laurence Pountney Hill, London EC4R 0HH. Authorised and regulated by the Financial Services Authority.

# Prudential ISA Transfer Authority Form



## About this form

- ▶ Please complete all relevant sections in blue or black ink, write in CAPITAL LETTERS or tick  as appropriate and sign this form.
- ▶ Please return the completed application form to **Prudential, PO Box 10866, Chelmsford CM99 2BX**.
- ▶ We may have to return any application to you that is incorrectly completed.
- ▶ This application form can only be used if you wish to transfer your ISA to Prudential from another ISA Manager.
- ▶ This form can be used for both previous and current tax year contributions.
- ▶ Do not send this form to your existing ISA Manager. Prudential will arrange the transfer of your ISA(s) directly with your current ISA Manager.
- ▶ Please return this authority with the Prudential ISA Transfer Application Form.
- ▶ If you have any questions about this form, please call us on **0800 072 6159** between 8am and 6pm Monday to Friday and 9am to 1pm on Saturday. For your security and to improve the quality of our service, we may record and monitor telephone calls.
- ▶ Use one form for each previous ISA Plan Manager.

## Part 1 – Your ISA Manager's details

<p>Name</p> <input style="width: 95%; height: 20px;" type="text"/>	<p>Reference number(s) of the ISA(s) from which you wish to transfer</p> <input style="width: 95%; height: 20px;" type="text"/> <input style="width: 95%; height: 20px;" type="text"/> <input style="width: 95%; height: 20px;" type="text"/>
<p>Address</p> <input style="width: 95%; height: 20px;" type="text"/> <input style="width: 95%; height: 20px;" type="text"/> <input style="width: 95%; height: 20px;" type="text"/>	
<p>Postcode</p> <input style="width: 95%; height: 20px;" type="text"/>	

## Part 2 – Your investment details

**Current tax year** (Current tax year contributions can only be transferred in full)

Please transfer my current tax year contributions in the following into a Prudential ISA:

Stocks and Shares  Cash

**Previous tax year** (You can transfer all or part of your previous years' holdings)

Please transfer my previous tax years' contributions in the following into a Prudential ISA:

Stocks and Shares  Cash

If this is for a partial transfer, please indicate the proportion or amount you wish to transfer  % or £

Due to recent legislative changes, PEPs are now classed as Stocks and Shares ISAs. If your existing manager holds more than one ISA product for you, please describe carefully in the box below which products you wish to transfer.

## Part 3 – Authorisation

I authorise you as my current ISA Manager to:

- ▶ Give Prudential Unit Trusts Limited full details of my ISA(s).
- ▶ Immediately sell my ISA investment(s) on receipt of this form and send the proceeds (including uninvested cash that applied to the ISA(s) I am transferring) to Prudential Unit Trusts Limited by cheque.
- ▶ Transfer money or rights and entitlements subsequently received, in respect of the ISA(s) I am transferring, including dividends and tax credits to Prudential Unit Trusts Limited as soon as possible.

Full name

Signature

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

