

Prudential OEIC Application Form



About this form

- ▶ Please complete all relevant sections in blue or black ink, write in CAPITAL LETTERS or tick as appropriate and sign this form.
- ▶ Please return the completed form together with your cheque or direct debit instruction to:
Prudential, PO Box 10866, Chelmsford CM99 2BX.
- ▶ Cheques should be made payable to **Prudential Unit Trusts Limited.**
- ▶ We may have to return any application to you that is incorrectly completed.
- ▶ This application form is to be used for new investments only.
- ▶ This application form can be used for individual or joint applications.
- ▶ If you have any questions about this form, please call us on **0800 072 6159** between 8am and 6pm Monday to Friday and 9am to 1pm on Saturday. For your security and to improve the quality of our service, we may record and monitor telephone calls.
- ▶ Please note that if you are resident in the USA, in order to comply with US law we are unable to accept your investment. If you submit an application to us it will be rejected and returned to you.

Part 1 – Personal details

First holder

Title Date of birth (you must be 18 or over)

Surname

Full forename(s)

Daytime telephone number (including area code)

Permanent residential address

Postcode

If you are an existing investor in a Prudential ISA or OEIC, please quote your customer number

Designated account name (eg initials of child)

Second holder

Title Date of birth (you must be 18 or over)

Surname

Full forename(s)

Daytime telephone number (including area code)

Permanent residential address

Postcode

If you require up to two more additional holders please complete their full names, address details and signatures on a separate piece of paper and send it along with this application.

Part 2 – Your investment details

The minimum investment per fund is £500.

Please refer to your Key Features for full details of the funds and options available.

- ▶ For each fund in which you invest, please tick either the Growth Option or the Income Option. You can only choose one option per fund. If none/both options are ticked then the Growth Option will be allocated for that fund.
- ▶ If you choose the Income Option please ensure you also complete Part 3 – Income payment details.

I/We wish to invest	£	<input style="width: 95%;" type="text"/>	in the	<input style="width: 95%;" type="text" value="Prudential"/>
Income Option	<input type="checkbox"/>	Growth Option	<input type="checkbox"/>	

I/We wish to invest	£	<input style="width: 95%;" type="text"/>	in the	<input style="width: 95%;" type="text" value="Prudential"/>
Income Option	<input type="checkbox"/>	Growth Option	<input type="checkbox"/>	If you need more space to list your choice of funds, please use another piece of paper.

Paying by 'counter cheque' or money order

If you are paying by 'counter cheque' or money order from your bank or building society, you must ask your bank or building society to include a reference to the name on your account when they complete the name of the payee on the cheque or money order. For example, if the name on your account is John Brown, they should specify: **"PAY.... Prudential Unit Trusts Limited – Re: John Brown"**.

Please note: The payment forms part of your application. If the payment instruction is not completed correctly, we may have to return your application and payment instruction. This could mean that the purchase of your investment may be delayed or even rejected. It could also affect the purchase price of your investment. Please enclose a cheque made payable to **Prudential Unit Trusts Limited**.

Part 3 – Income payment details

Please complete this section if you have selected the Income Option and would like to receive your income via BACS payment. Please insert details of the account into which you would like your income to be paid. Please take care when completing this section as Prudential Unit Trusts Limited cannot take responsibility for incorrectly completed bank/building society account details.

Bank/Building society name and address <input style="width: 95%; height: 20px;" type="text"/> <input style="width: 95%; height: 20px;" type="text"/> <div style="text-align: right; margin-top: 5px;">Postcode</div>	Bank/Building society account number <input style="width: 95%; height: 20px;" type="text"/> Branch sort code* <input style="width: 95%; height: 20px;" type="text"/> Building society collection account number* <input style="width: 95%; height: 20px;" type="text"/>
Name(s) of account holder(s) <input style="width: 95%; height: 20px;" type="text"/>	*The building society sort code and collection number can be obtained from your building society.

Part 4 – Details of your regular savings/investment (by Direct Debit)

The minimum monthly contribution is £50 per fund.

Remember to also complete the attached Direct Debit Instruction. Your regular savings will normally be debited from your bank/building society account on the tenth of each month, or next business day if this falls on a weekend or public holiday.

Changes must reach us at least ten working days before the next collection otherwise the change will take place in the following month.

I/We wish to invest	£	<input style="width: 95%;" type="text"/>	in the	<input style="width: 95%;" type="text" value="Prudential"/>
Income Option	<input type="checkbox"/>	Growth Option	<input type="checkbox"/>	

I/We wish to invest	£	<input style="width: 95%;" type="text"/>	in the	<input style="width: 95%;" type="text" value="Prudential"/>
Income Option	<input type="checkbox"/>	Growth Option	<input type="checkbox"/>	

Copies of your application form, Prospectus or latest Annual and, if more recent, Interim Long and Short Reports are available free of charge on request.

How we use your personal data

Prudential Unit Trusts Limited, its group companies* and its business partners will use your information together with other information for administration, customer services, marketing and profiling your purchasing preferences. We will pass your information to them (including our service providers and agents) for these purposes.

We may search the files of credit reference agencies which will record any searches on your file. This is to help us to prevent fraud, to check your identity and to prevent money laundering. We may disclose details of how you conduct your account to such agencies. The information will be used by other credit grantors for fraud prevention, money laundering prevention and occasionally for tracing debtors. This information may be used to recheck these purposes. We will pass your information to any legal or regulatory body if required to do so.

It may be necessary, for the above purposes, to transfer your information to countries that provide a different level of data protection from the UK. In such circumstances, we will put a contract in place to ensure your information is protected. By signing and returning this form, you consent to us processing your data as mentioned above.

You have a right to obtain a copy of your personal information (for which we may charge a fee) and to have any inaccuracies corrected by writing to: Customer Relations, Prudential, PO Box 10866, Chelmsford CM99 2BX. To make sure we follow your instructions correctly and to improve our service to you through training of our staff, we may monitor or record communications.

Acting on someone's behalf? When giving us information about another person, you confirm that they have appointed you to act on their behalf. This includes providing consent to:

- the processing of their personal data
- receive any data protection notices on their behalf
- receive marketing information as indicated.

Marketing choice – We would like to keep you up to date with information on our products and services. To do this, we would like to contact you by telephone, post, email or SMS. If you would not like to be contacted, please tick this box .

*Prudential Unit Trusts Limited is part of the Prudential group of companies which at the time of printing includes Prudential UK & Europe, the M&G Investments Group, Prudential Corporation Asia, Jackson National Life, and PPM America Inc (indirect wholly owned subsidiary).

Part 5 – Declaration

I/We have received and read a recent version of the Key Features.

I/We declare that I am/we are the beneficial owner(s) of this investment. If you are not the beneficial owner(s) of this investment, ie this investment has been registered in your name(s) on behalf of someone else, please tick this box and we will send you a Beneficial Owner Confirmation Form to complete and return.

I agree that my investment will be bound by the Prudential Customer Agreement and I agree to be bound by it in all respects.

I/We are not resident in the USA and agree to notify Prudential immediately in the event that I/we become resident in the USA.

Please sign below (if joint applicants both must sign). I am/We are aged 18 years or over. It is important that the names in your signature(s) exactly match the names you have given on the previous page.

First holder signature

X

Date

D D M M Y Y Y Y

Second holder signature (if applicable)

X

Date

D D M M Y Y Y Y

Instruction to your bank or building society to pay by Direct Debit

PRUDENTIAL



Please fill in the whole form using a ballpoint pen and send it to:

Prudential
PO Box 10866
Chelmsford
CM99 2BX

Service user number

6 9 8 2 5 9

Name(s) of account holder(s)

Reference

Instruction to your bank or building society

Please pay **Prudential Unit Trusts Limited** Direct Debits from the account detailed in this instruction, subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with **Prudential Unit Trusts Limited** and, if so, details will be passed electronically to my bank/building society.

Bank/Building society account number

Branch sort code

Name and full postal address of your bank or building society

To: The Manager Bank/Building society
Address

Postcode

Signature(s)

X

Date

D D M M Y Y Y Y

Banks and building societies may not accept Direct Debit Instructions for some types of account.

This Guarantee should be detached and retained by the payer



The Direct Debit Guarantee

- ▶ This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- ▶ If there are any changes to the amount, date or frequency of your Direct Debit, Prudential Unit Trusts Limited will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request Prudential Unit Trusts Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- ▶ If an error is made in the payment of your Direct Debit, by Prudential Unit Trusts Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
 - If you receive a refund you are not entitled to, you must pay it back when Prudential Unit Trusts Limited asks you to.
- ▶ You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

Financial Adviser details

Name

Regulator

Regulator number

Company name

Address

Postcode

Agent code

For FSA product sales data purposes:

If you did not provide advice on this sale, please tick this box .



www.pru.co.uk

"Prudential" is a trading name of Prudential Unit Trusts Limited and Prudential Personal Equity Plans Limited. This name is also used by other companies within the Prudential Group, which between them provide a range of financial products including life assurance, pensions, savings and investment products. Prudential Unit Trusts Limited and Prudential Personal Equity Plans Limited are registered in England and Wales under numbers 1796126 and 2059989 respectively. Registered Office at Laurence Pountney Hill, London EC4R 0HH. Authorised and regulated by the Financial Services Authority.

This guarantee should be detached and retained by the payer



The Direct Debit Guarantee

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 - If you receive a refund you are not entitled to, you must pay it back when Prudential Unit Trusts Limited asks you to.
- ▶ You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.